

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Evaluation of the U.S. Marine Corps Pre-Command Sexual Assault Prevention and Response and Integrated Prevention Training

2. DOD COMPONENT NAME:

Under Secretary of Defense for Personnel and Readiness

3. PIA APPROVAL DATE:

05/03/24

Office of Force Resiliency, Violence Prevention Cell (VPC)

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

- | | |
|--|--|
| <input type="checkbox"/> From members of the general public | <input checked="" type="checkbox"/> From Federal employees |
| <input type="checkbox"/> from both members of the general public and Federal employees | <input type="checkbox"/> Not Collected (if checked proceed to Section 4) |

b. The PII is in a: (Check one.)

- | | |
|--|---|
| <input type="checkbox"/> New DoD Information System | <input checked="" type="checkbox"/> New Electronic Collection |
| <input type="checkbox"/> Existing DoD Information System | <input type="checkbox"/> Existing Electronic Collection |
| <input type="checkbox"/> Significantly Modified DoD Information System | |

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The purpose of this evaluation is to assess the impact of the United States Marine Corps Pre-Command Sexual Assault Prevention and Response (SAPR) Integrated Prevention (IP) Training, a component of the US Marine Corps (USMC) Commandant's Cornerstone Training Program, on preparing slated Commanders and Sergeants Majors to address sexual assault (SA). The information collected for this study will be used to evaluate the effectiveness of the Pre-Command SAPR IP Training in changing trainees' beliefs about the importance (as leaders) of preventing sexual assault; the trainees' knowledge, skills, attitudes, subjective norms, perceived behavioral control related to sexual assault prevention; and the trainees' behavioral intentions and behavior (as leaders) to prevent sexual assault.

This evaluation will include 800 commanders and Sergeants Majors from two to three training session cohorts over the course of 2024, 2025, and 2026. There are four data collection components: (1) cognitive interviews; (2) surveys; (3) trainee feedback forms; and (4) interviews. To link respondent data among the baseline survey, trainee feedback form, and the follow-up survey but retain participant confidentiality, a unique participant identification (ID) number will be created by the research team. A crosswalk between each participant's name, military email address, and participant ID number will be maintained and stored separate from all response data. The crosswalk will never be shared with anyone outside the research team and will be destroyed after the baseline and follow-up surveys are linked for training evaluation purposes.

- (1) Data collection will begin with cognitive interviews with O5 and O6 commanders and Sgts. Majors to ensure that survey items and questions are being interpreted as intended by diverse participants. The following personal information is collected to facilitate the scheduling and hosting of these interviews only: Name, official email address, and position/rank. All cognitive interview data will be de-identified and will not be linked to any survey responses.
- (2) Next, each participant in the intervention and comparison cohorts will complete a baseline survey prior to training, as well as a 6-month follow-up survey following completion. Surveys will be administered online with web links sent to participants via their official email addresses. Personal information collected in the surveys includes: Demographic information including race/ethnicity and gender, position/rank, and years of service. Baseline survey respondents will be asked to voluntarily provide a personal email address to facilitate invitations to the follow-up survey. As with official email addresses, all personal email addresses provided will be segregated and maintained separately from the survey responses.
- (3) Immediately following the Pre-Command SAPR IP Training, participants will be asked to complete trainee feedback forms. Personal information collected in the feedback form includes military email address.
- (4) Select members of the intervention cohorts will also be asked to participate in 30-minute qualitative interviews, conducted virtually via Teams/Zoom, following completion of the follow-up survey. The following personal information is collected to facilitate the scheduling and

hosting of these interviews only: Name, military email address, and position/rank. All qualitative interview data will be de-identified and will not be linked to other participant responses.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

The surveys will collect participant email addresses to verify and authenticate identity. Email addresses will be linked to a researcher generated participant ID which will be used in matching for data analysis of baseline and follow-up responses. (i.e., verification, identification, data matching)

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

Email addresses will be used to confirm and validate participant identity. An initial invitation to participate in the study will be sent to the list of military email addresses (intervention and comparison cohorts), at which time each recruited participant will have the opportunity to decline participation in the study.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Participants will be informed on what personal information will be collected, how it will be stored, shared, and used in analysis. Participants will have the option to decline participation in the research activities (cognitive interviews, surveys, feedback forms, qualitative interviews). Once collected, however, the information may be used for any of the purposes identified above.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement Privacy Advisory Not Applicable

Privacy Advisory

Disclosure of this information is voluntary and will be used to evaluate the effectiveness of the Pre-Command SAPR IP Training. When completed, this form contains personally identifiable information and is protected by the Privacy Act of 1974, as amended.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? (Check all that apply)

- | | | |
|---|----------|---|
| <input type="checkbox"/> Within the DoD Component | Specify. | <input type="text"/> |
| <input checked="" type="checkbox"/> Other DoD Components (i.e. Army, Navy, Air Force) | Specify. | United States Marine Corps |
| <input type="checkbox"/> Other Federal Agencies (i.e. Veteran's Affairs, Energy, State) | Specify. | <input type="text"/> |
| <input type="checkbox"/> State and Local Agencies | Specify. | <input type="text"/> |
| <input checked="" type="checkbox"/> Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) | Specify. | NORC at the University of Chicago abides by the following: Privacy Act and Personally Identifiable Information IAW DoDD 5400.11 dated October 29, 2014, The Privacy Act (5 U.S.C. 552a), DoD 5400.11-R, and DoD Directive 5400.11, DoD Privacy Program, DoD 6025.18-R DoD Health Information Privacy Regulation |
| <input type="checkbox"/> Other (e.g., commercial providers, colleges). | Specify. | <input type="text"/> |

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- | | |
|--|---|
| <input checked="" type="checkbox"/> Individuals | <input type="checkbox"/> Databases |
| <input type="checkbox"/> Existing DoD Information Systems | <input type="checkbox"/> Commercial Systems |
| <input type="checkbox"/> Other Federal Information Systems | |

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- | | |
|--|--|
| <input checked="" type="checkbox"/> E-mail | <input type="checkbox"/> Official Form (Enter Form Number(s) in the box below) |
| <input type="checkbox"/> In-Person Contact | <input type="checkbox"/> Paper |
| <input type="checkbox"/> Fax | <input checked="" type="checkbox"/> Telephone Interview |

Information Sharing - System to System

Website/E-Form

Other (If Other, enter the information in the box below)

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.

(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

Package under development